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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: January 5, 2006 Name: Tadashi Horie (Reg. No. 40,437) Signature: Tadashi Horie

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& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Riko NAGAI et al.

Appln. No.: 10/735, 290

Filed: December 12, 2003

For: METHOD AND SYSTEM FOR  
RESTRICTING CONTENT  
REDISTRIBUTION

Examiner: To Be Assigned

Art Unit: 2141

Attorney Docket No: 9683/161

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Supplemental Information Disclosure Statement, Copy of Chinese Office Action and its Translation, Form PTO-1449, 2 Cited References (B2 and B3)
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+\$180=			+\$360=	
					Total	\$		Total	\$

Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

January 5, 2006  
Date

Tadashi Horie  
Tadashi Horie (Reg. No. 40,437)



CERTIFICATE OF MAILING

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January 5, 2006

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or  
Registered Representative

Signature

January 5, 2006

Date of Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Riko NAGAI  
Yoshiaki MAEDA  
Toshihiro INOMATA  
Takashi KONDO

Appln. No.: 10/735,290

Filed: December 12, 2003

For: METHOD AND SYSTEM FOR  
RESTRICTING CONTENT  
REDISTRIBUTION

Examiner: To Be Assigned

Art Unit: 2141

Attorney Docket No: 9683/161

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following references:

U.S. Patent Document

DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
2003/0046352 A1	03/06/2003	Katsuda et al.

## Foreign Patent Documents

DOCUMENT NUMBER Country Code, Number -Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
JP 2000-305855 A	11/02/2000	Sony Corporation
JP 2002-269014 A	09/20/2002	Konica Minolta Holdings, Inc.

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). Reference B2 and B3 were cited in an Office Action issued in the counterpart Chinese application. A copy of the Office Action and an English translation thereof are attached to this Statement. References B2 and B3 are in Japanese. For B2, Applicants are submitting an English translation thereof. For B3, Applicants are disclosing reference B1, which is the U.S. counterpart of reference B3. Applicants respectfully request the Examiner's consideration of the above references and entry thereof into the record of this application.

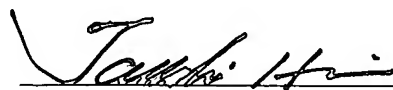
By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

January 5, 2006

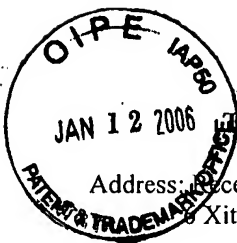
Date



Tadashi Horie (Reg. No. 40,437)

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& LIONE



# THE STATE INTELLECTUAL PROPERTY OFFICE OF CHINA

Address: Receiving Department of The State Intellectual Property Office (SIPO)  
Xitucheng Road, Haidian, Beijing

Postal Code: 100088

# COPY

Applicant	NTT DoCoMo, Inc.	Date of Issue:  November 11, 2005
Patent Agent	Beijing Sanyou Intellectual Property Agency Ltd.	
Filing No. of Patent Appln.	200310121452.7	
Title of Invention	Method and System for Restricting Content Redistribution	

## FIRST NOTIFICATION OF OFFICE ACTION

- ☒ The examiner has made the examination on the above cited patent application in accordance with the provision in paragraph 1, Article 35 of the PRC Patent Law.  
☐ The SIPO uses its own discretion to make a substantive examination for the above cited patent application in accordance the provision in paragraph 2, Article 35 of the Chinese Patent Law.
- ☒ The applicant designated the filing date of Dec. 16, 2002 in the Patent Office of JP as the priority date;  
\_\_\_\_\_ in the Patent Office of \_\_\_\_\_ as the priority date;  
\_\_\_\_\_ in the Patent Office of \_\_\_\_\_ as the priority date;  
\_\_\_\_\_ in the Patent Office of \_\_\_\_\_ as the priority date;  
\_\_\_\_\_ in the Patent Office of \_\_\_\_\_ as the priority date;  
☒ the certified copy of Priority Document(s) has (have) been submitted.  
☐ no certified copy of priority document has been submitted heretofore and, according to the provision of Article 30 of the PRC Patent Law, it is deemed that no priority right has been requested.
- ☐ The applicant submitted the amended text on \_\_\_\_\_ and \_\_\_\_\_, after examination, in which  
☐ the \_\_\_\_\_ of the amended text submitted on \_\_\_\_\_ is unacceptable;  
☐ the \_\_\_\_\_ of the amended text submitted on \_\_\_\_\_ is unacceptable;  
the reason being that the above cited amendment  
☐ is not in conformity with the provision of Article 33 of the PRC Patent Law;  
☐ is not in conformity with the provision of Rule 51 of the Implementing Regulations of the Chinese Patent Law.

The amendment is not accepted based on the reason in details shown in the attachment sheet .

- ☒ Examination is made based on the original filing documents.  
☐ Examination is made based on the following documents:  
Description page(s) \_\_\_\_\_ of the original filing documents submitted on the filing date  
Page(s) \_\_\_\_\_ on \_\_\_\_\_, pages \_\_\_\_\_ on \_\_\_\_\_  
Claims page(s) \_\_\_\_\_ of original filing documents submitted on the filing date  
Page(s) \_\_\_\_\_ on \_\_\_\_\_, pages \_\_\_\_\_ on \_\_\_\_\_  
Drawings page(s) \_\_\_\_\_ of original filing documents submitted on the filing date  
Page(s) \_\_\_\_\_ on \_\_\_\_\_, pages \_\_\_\_\_ on \_\_\_\_\_  
Abstract ☐ submitted on the filing date ☐ submitted on \_\_\_\_\_  
Drawing of abstract ☐ submitted on the filing date ☐ submitted on \_\_\_\_\_
- ☐ The notification is made without conducting the search for the patentability.  
☒ The notification is made under the search for the patentability.  
☒ The following references have been cited in this notification (their serial numbers will be referred to in the following procedure):

Serial Number	Number or Title of Reference Material	Publication Date (or Filing Date of A Conflict Patent Application)
1	JP2000-305855A	Nov. 2, 2000
2	JP2002-269014A	Sept. 20, 2002
3		
4		

6. The conclusion of the examination:

☐ In regard to the description:

- ☐ The subject matter of the present application is not accepted based on the Article 5 of the Chinese Patent Law.
- ☐ The description is not in conformity with the provision of paragraph 3, Article 26 of Chinese Patent Law.
- ☐ The presentation of the description is not in conformity with the provision of Rule 18 of the Implementing Regulations of the Chinese Patent Law.

☒ In regard to the Claims:

- ☒ Claims 1,3,4 can not be allowed owing to lack of novelty based on the provision of paragraph 2, Article 22 of Chinese Patent Law.
- ☒ Claims 2 can not be allowed owing to lack of inventiveness based on the provision of paragraph 3, Article 22 of Chinese Patent Law.
- ☐ Claims \_\_\_\_\_ cannot be allowed owing to lack of practical applicability based on the provision of paragraph 4, Article 22 of Chinese Patent Law.
- ☐ Claims \_\_\_\_\_ can not be allowed because they fall in the scope of the unpatentable subject matters provided by Article 25 of the Chinese Patent Law.
- ☐ Claims \_\_\_\_\_ cannot be allowed because they are not in conformity with the provision of paragraph 4, Article 26 of Chinese Patent Law.
- ☐ Claims \_\_\_\_\_ cannot be allowed based on the provision of paragraph 1, Article 31 of Chinese Patent Law.
- ☐ Claims \_\_\_\_\_ cannot be allowed based on the provision of Article 33 of Chinese Patent Law.
- ☐ Claims \_\_\_\_\_ can not be allowed because they claim an invention(s) that does not belong to the invention defined by the provision of paragraph 1, Rule 2 of the Implementing Regulations of the Chinese Patent Law.
- ☐ Claims \_\_\_\_\_ cannot be allowed based on the provision of paragraph 1, Rule 13 of the Implementing Regulations of the Chinese Patent Law.
- ☐ Claims \_\_\_\_\_ cannot be allowed based on the provision of Rules 20 of the Implementing Regulations of the Chinese Patent Law.
- ☐ Claims \_\_\_\_\_ cannot be allowed based on the provision of Rules 21 of the Implementing Regulations of the Chinese Patent Law.
- ☐ Claims \_\_\_\_\_ cannot be allowed based on the provision of Rules 22 of the Implementing Regulations of the Chinese Patent Law.
- ☐ Claims \_\_\_\_\_ cannot be allowed based on the provision of Rules 23 of the Implementing Regulations of the Chinese Patent Law.

The explanation of the conclusion is given in the attachment sheet in details

7. According to the above conclusion, the examiner holds that

- ☐ the applicant should amend the application documents based on the requirement specified in the Attachment Sheet.
- ☐ the applicant should state the reason on which the application can be accepted and amend the part that is indicated not to be in conformity with the requirement, otherwise the application will be rejected.
- ☒ No subject matter in the application is patentable, the said application will be rejected if the applicant does not make a statement or the statement is not convincing.
- ☐ \_\_\_\_\_

8. The applicant's attention is drawn to the fact that

- (1) in accordance with the provision of Article 37 of the Chinese Patent Law, the applicant shall submit the observations within FOUR months from the date of receiving this notification. If the applicant, without any justified reason, fails to reply within the time limit, the application shall be deemed to have been withdrawn.
- (2) the amendment that the applicant makes shall be in conformity with the provision of Article 33 of the Chinese Patent Law. The amended text shall be furnished in duplicate. The formality of the amendment should be in conformity with the relative provisions of the Guidebook for Examination.
- (3) any response and/or amended specification must be furnished by mail or by hand to the Receiving Department of the Chinese Patent Office. Any documents that are not furnished to the Receiving Department do not have legal effect.
- (4) the applicant and/or his attorney should not go to the PRC Patent Office to meet the examiner if no appointment is made.

9. The text of the notification embraces 3 page(s), along with the enclosures herein:

☒ 2 copies of the cited references are enclosed in pages of 50.



FORM PTO-1449	APPLICATION NO. 10/735,290	ATTORNEY DOCKET NO. 9683/161
<b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b>	FILING DATE December 12, 2003	GROUP ART UNIT 2141
(use several sheets if necessary)		APPLICANTS: Riko NAGAI et al.

#### U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/ Subclass	Filing Date
	B1	2003/0046352 A1	03/06/2003	Katsuda et al.		
	B					
	B					
	B					
	B					
	B					

#### FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Country Code, Number -Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/ Subclass	T
	B2	JP 2000-305855 A	11/02/2000	Sony Corporation		X
	B3	JP 2002-269014 A	09/20/2002	Konica Minolta Holdings, Inc.		US 2003-0046352 A1
	B					
	B					
	B					
	B					

EXAMINER INITIALS	NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.					T
	B					
	B					
	B					
	B					

NOTE: For "T" – please place an "X" if an English translation is being provided to the Patent Office.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.